



4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Jerome R. Bellegarda et al.) Art Unit: 2681
)
Application No: 09/881,986) Examiner: Not yet assigned
)
Filed: June 14, 2001) Attorney Docket No.: 04860.P2657
)
For: METHOD AND APPARATUS)
FOR FILTERING E-MAIL)

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R § 1.97

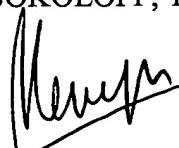
Sir:

Enclosed is an Information Disclosure Form PTO-1449A together with copies of the cited documents. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Form PTO-1449A be initialed by the Examiner to indicate such consideration, and a copy thereof returned to Applicants.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicants do not believe any fee is due with this submission, however, should a fee be required, please charge Blakely, Sokoloff, Taylor, & Zafman LLP Deposit Account No. 02-2666.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Dated: October 1, 2001
Clive D. Menezes
Reg. No. 45,493

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(512) 330-0844



PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

on October 1, 2001.

Date

Reina R. Bernfeld
Signature

Reina R. Bernfeld

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

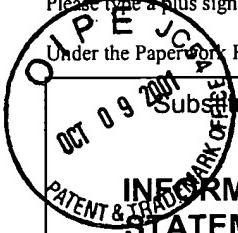
Encl.: Return Postcard
Check
Transmittal Form
Fee Transmittal (+ copy)
Response to Notice to File Missing Parts of Nonprovisional Application
Declaration and Power of Attorney
Copy of Notice to File Missing Parts of Nonprovisional Application
Information Disclosure Statement
PTO-1449A (1 page) and 2 References

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) in this box

14

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~



Substitute for form 1449A/PTO
(modified)
**INFORMATION DISCLOSURE
& STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

<p style="text-align: center;">   Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) </p>				<i>Complete if known</i>	
				Application Number	09/881,986
				Filing Date	June 14, 2001
				First Named Inventor	Jerome R. Bellegarda
				Group Art Unit	2681
				Examiner Name	Not yet assigned
				Attorney Docket Number	04860.P2657
Sheet	1	of	1		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.